

Serial No. 09/990964



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

4633  
#5  
06-20-03  
SI

**PATENT APPLICATION**

Inventor(s): **Andrew Roman Chraplyvy**  
**Xiang Liu**  
**Xing Wei**  
**Chunhui Xu**

Case: **28-3-1-7**

Serial No.: **09/990964**

Filing Date: **November 21, 2001**

Examiner:

Group Art Unit:

Title: **Long Haul Transmission In A Dispersion Managed Optical Communication System**

**COMMISSIONER FOR PATENTS**  
**P.O. BOX 1450**  
**ALEXANDRIA, VA 22313-1450**

**SIR:**

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 CFR 1.97(b)**

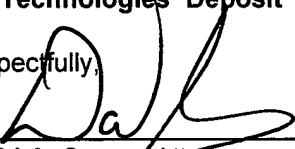
In accordance with 37 CFR 1.97(b), the enclosed Supplemental Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed.

**NO FEE IS REQUIRED**


In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

  
**David A. Sasso, Attorney**  
Reg. No. **43084**  
(732) 949-6559

Date: 5/28/03  
**Docket Administrator (Room 3J-219)**  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on MAY 30, 2003

  
Signature

Sharon L. Lobosco  
Typed or Printed Name of person signing Certificate

Case Name:	A.R. Chraplyvy 28-3-1-7
Serial No.	09/990964
Applicant:	A.R. Chraplyvy, et al.
Filing Date:	November 21, 2001
Group:	

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## Technology Center 2600

		Document Number	Date	Country	Class	Subclass	Translation
	AB						

	AC	W. A Atia et al., "Demonstration of Return-to-zero Signaling in Both OOK and DPSK Formats to Improve Receiver Sensitivity in an Optically Preamplified Receiver", <b><i>Lasers and Electro-Optics Society 1999 12<sup>th</sup> Annual Meeting. LEOS '99. IEEE</i></b> , Vol. 1, 1999. pp 226-227

**EXAMINER**

DATE CONSIDERED

**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant